

Il linguaggio del cuore HRV, tono vagale, disaggio psichico e DC

**Giancarlo Bazzoni
Alessio Pirino**

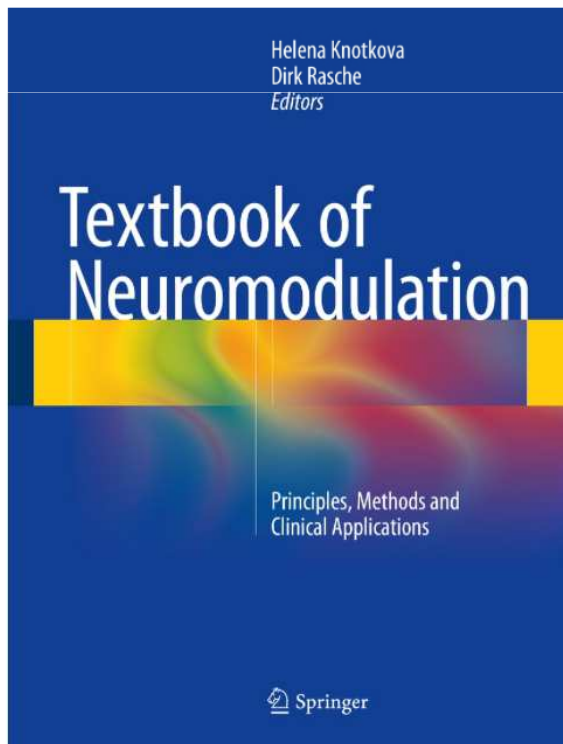
**CERNATEC
Centro per la Ricerca in
Neuromodulazione Auricolare
e Terapie Complementari
. Università di Sassari**

**ALGHERO 3-4 Novembre
CONGRESSO NAZIONALE SIRID**

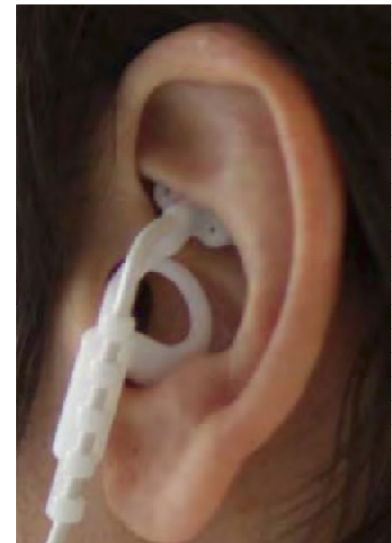
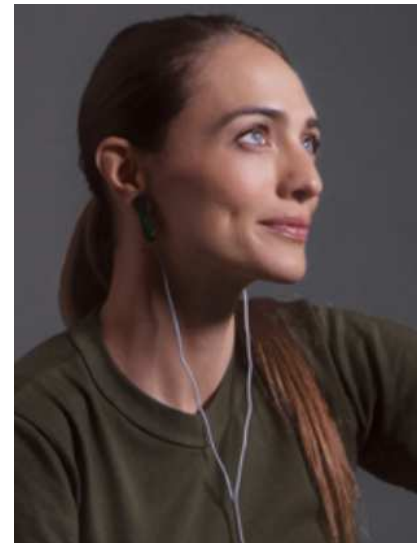
Il' Auricoloterapia alla Neuromodulazione Auricolare



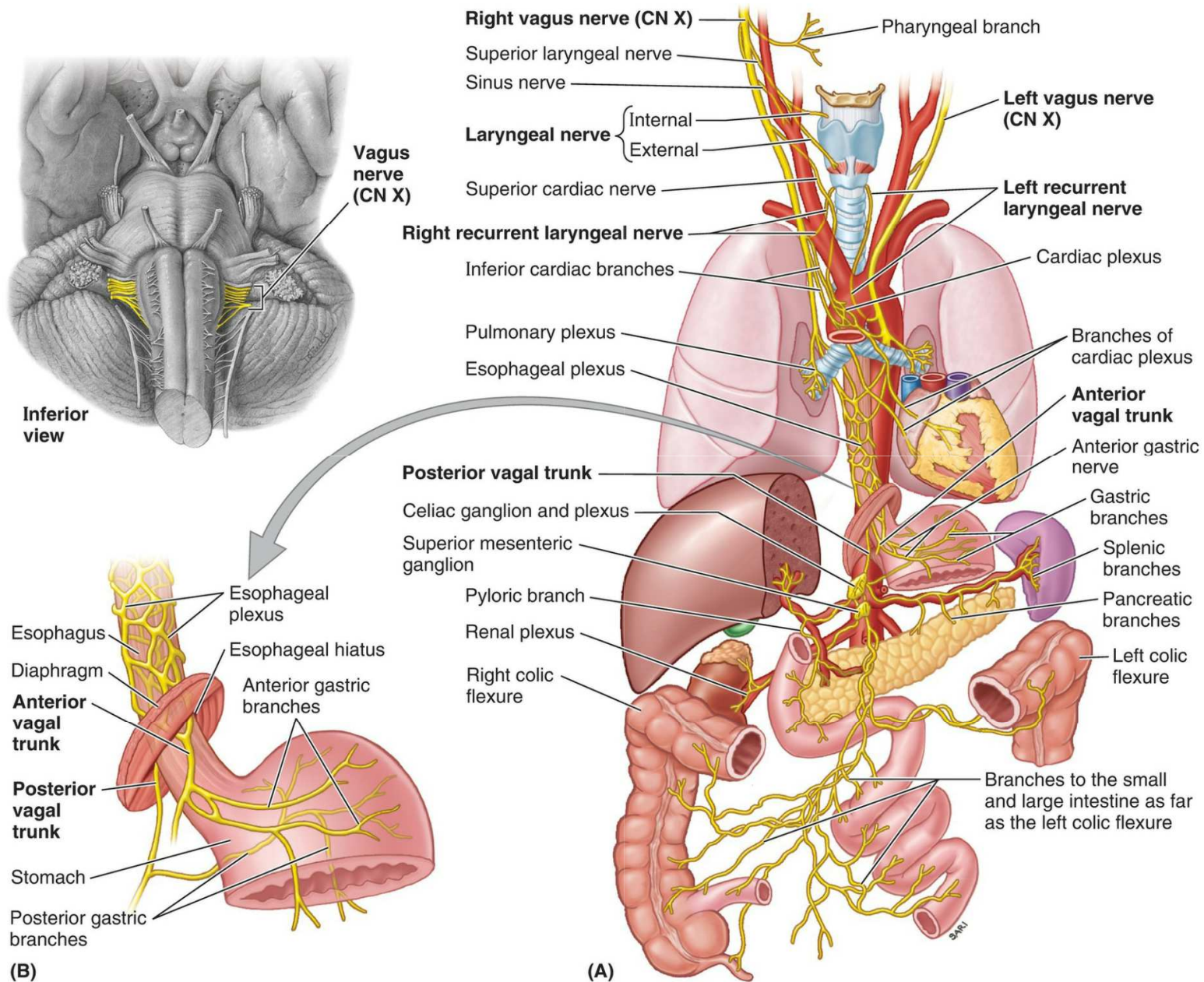
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Complementari
Università di Sassari



Neuromodulazione Vagale,
Trigeminale e Spinale
Neuromodulazione Auricolare



Nervo pneumogastrico n. vago X paio nervi cranici

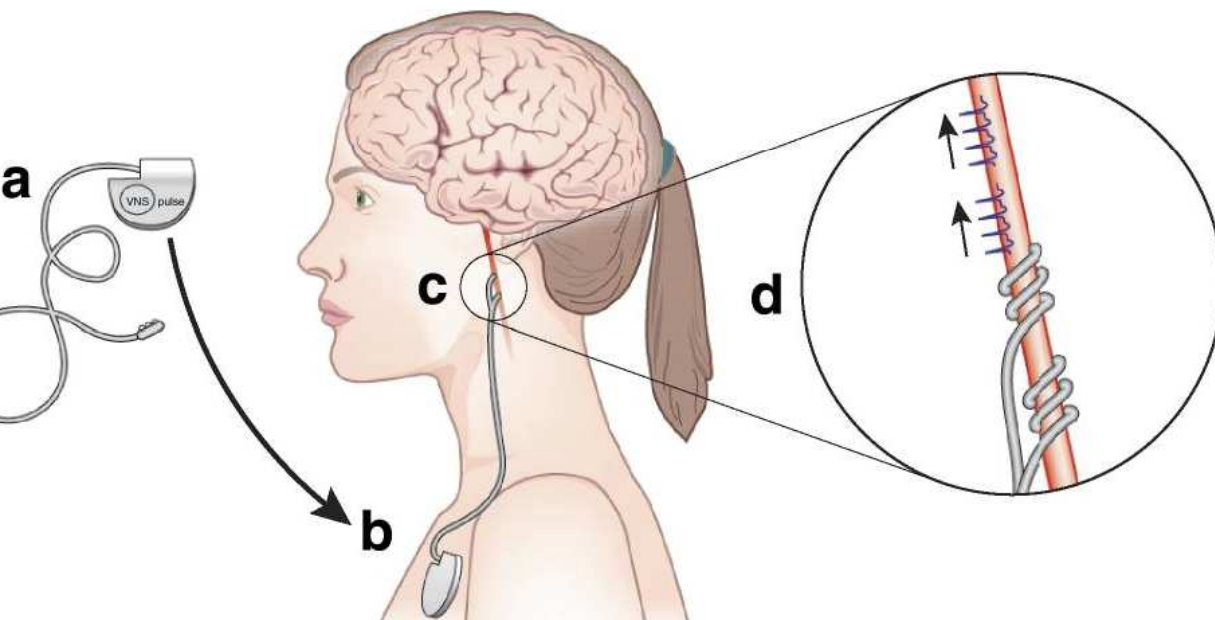


La stimolazione del vago ha un ruolo terapeutico

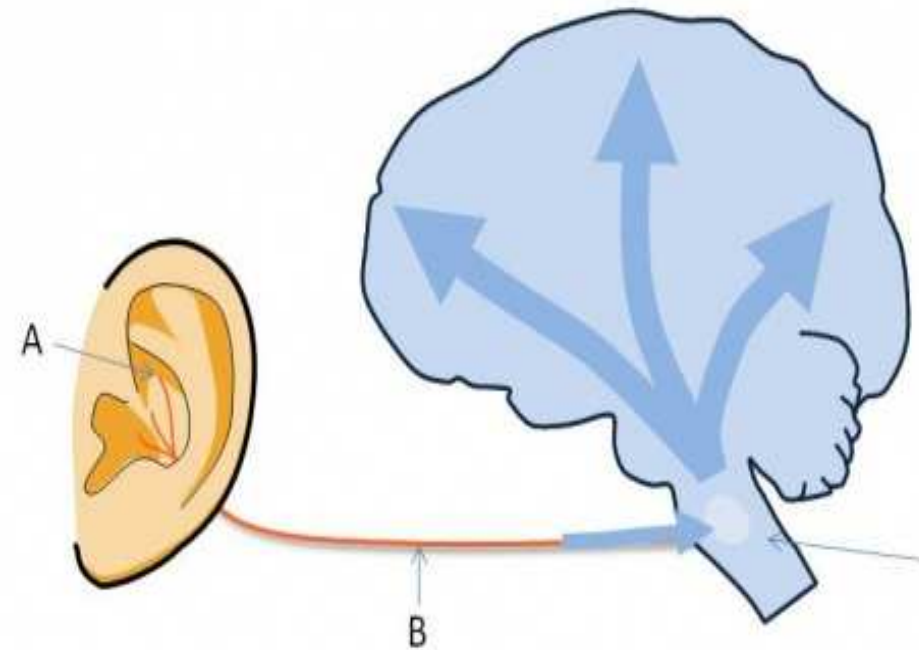
Food and Drug Administration (FDA)

7 → Partial-onset seizures

5 → Chronic recurrent depression

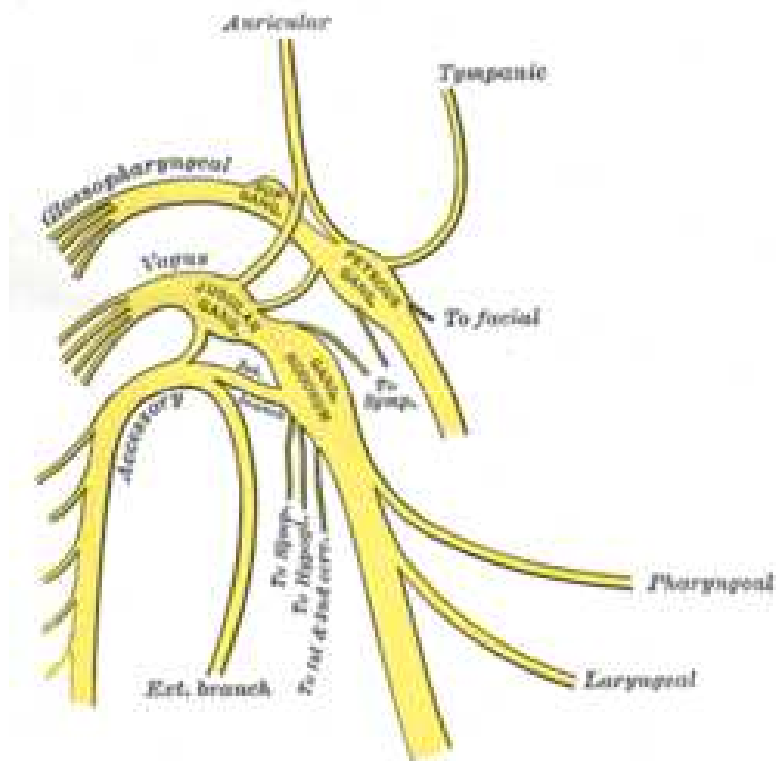


VNS Vagus Nerve Stimulation

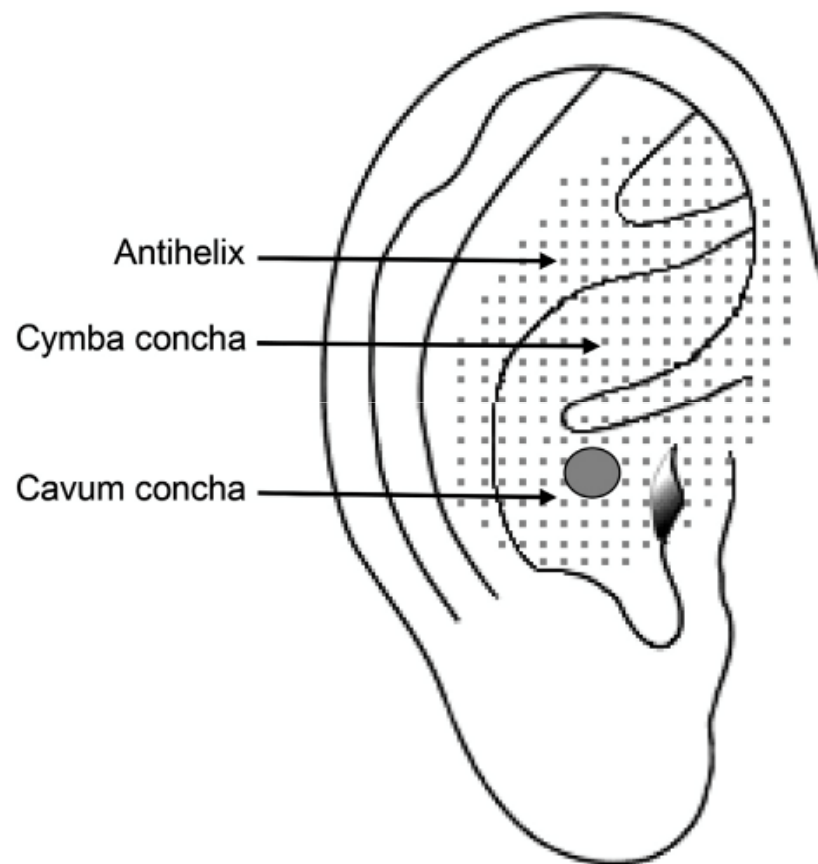


at-VNS Vagus Nerve Stimulation

Il n. vago (X) innerva il padiglione auricolare

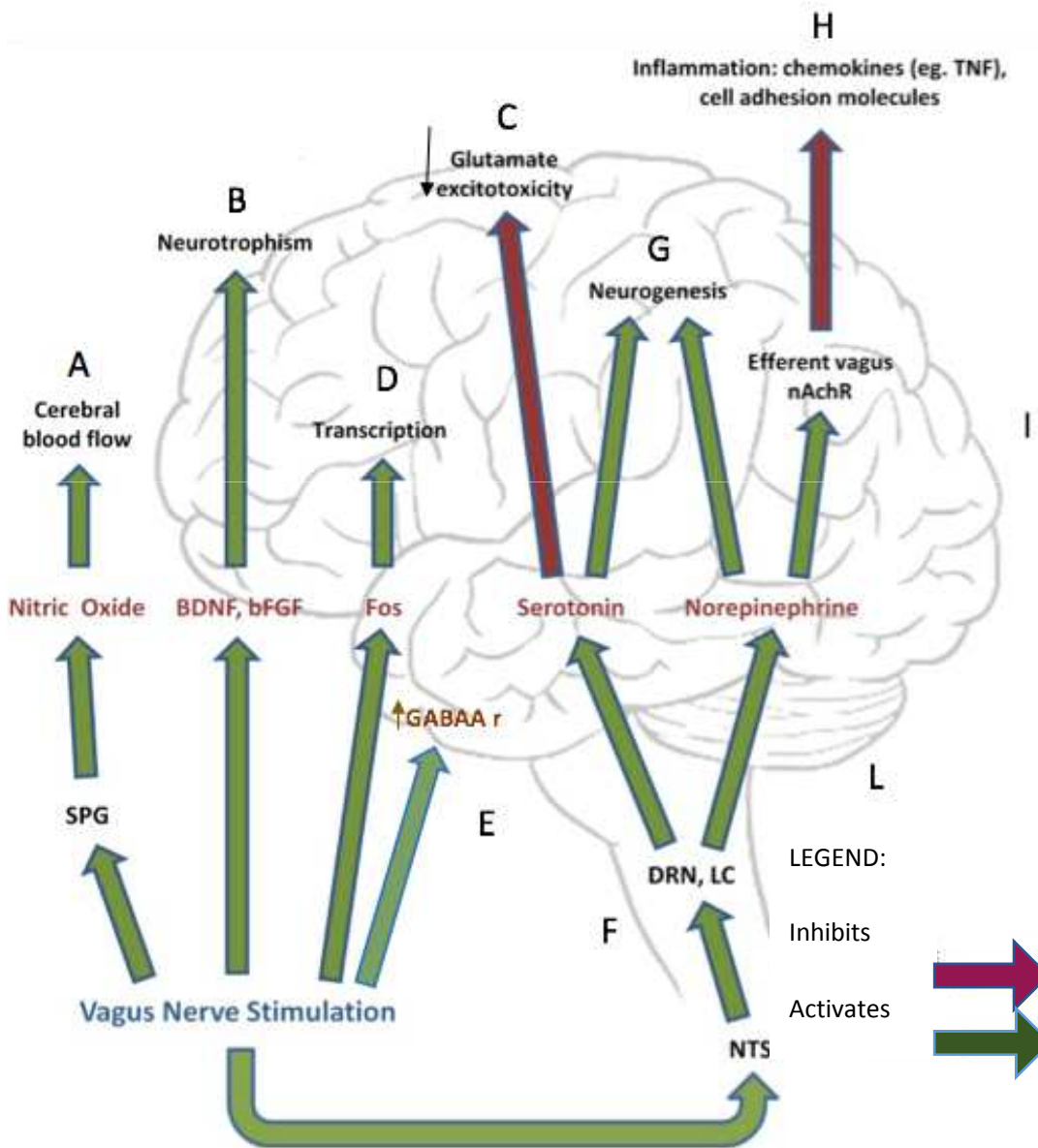


Branca auricolare del nervo vago (ABVN)



Dermatomoero vagale

I meccanismi della stimolazione vagale



- Abbreviation keys**
- NTS** Nucleus of the solitary tract
 - DRN** Dorsal raphe nucleus
 - LC** Locus coeruleus
 - SPG** Sphenopalatin ganglion
 - BDNF** Brain derived neurotrophic factor
 - bFGF** Brain fibroblast growth factor

- A** ANTI-EDEMA POST-STROKE EFFECT - HYPOCAMPUS - NEOCORTEX
- B** COGNITIVE PROCESSES LEARNING AND MEMORY - ANTIDEPRESSANT EFFECT - HYPOCAMPUS - NEOCORTEX
- C** STROKE VOLUME - HYPOCAMPUS
- D** NEUROPLASTICITY NEURON SURVIVAL AND GROWTH
- E** ANTI-SEIZURES EFFECT - HYPOCAMPUS
- F** MIGRAINE ANTINOCICEPTION - DORSAL RAPHE NUCLEUS - LOCUS COERULEUS
- G** MEMORY AND MOOD - HYPOCAMPUS
- H** ANTIFLAMMATION - IMMUNITY - HYPOTHALAMUS
- I** METABOLISM - EATING - SATIETY - HYPOTHALAMUS
- L** ANTI DEPRESSANT - ANTI SEIZURE EFFECT - MOOD - ANXIETY - BASOLATERAL AMYGDALA - PREFRONTAL CORTEX - HYPOCAMPUS - LYMBIC PARALYMBIC SYSTEM

Funzioni del sistema vagale già utilizzate in terapia in fase di studio

Antiepilettica

Antidepressiva

Analgesica

Antinfiammatoria e immunomodulatoria

Modulazione tono simpatico-parasimpatico

Antiedema post stroke

Neuroplasticità cerebrale e neurotrofica

Ansiolitica

Processi cognitivi e memoria

Tinnitus

Antiemicranica

Funzioni del sistema vagale già utilizzate in terapia in fase di studio

AZIONE ANTIDEPRESSIVA

Primonprez A, Raedt R et al.

The antidepressant-like effect of vagus nerve stimulation is mediated through the locus coeruleus. J Psychiatr Res. 2015

Christmas D, Steele JD et al.

Vagus nerve stimulation for chronic major depressive disorder: 12-month outcomes in highly treatment-refractory patients. Affect Disord. 2013

AZIONE ANTIEPILETTICA

Oliveira TVHF, Francisco AN et al. *The role of vagus nerve stimulation in refractory epilepsy.* Arq Neuropsiquiatr. 2017

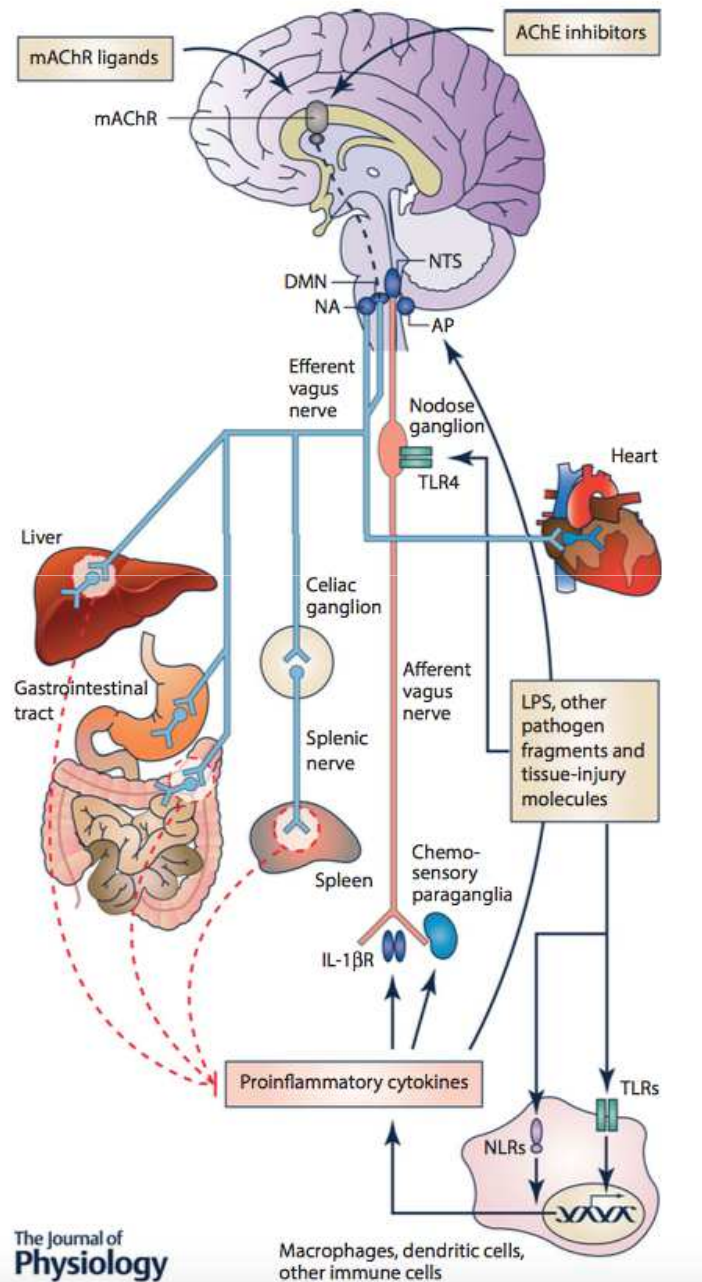
AZIONE ANTINFIAMMATORIA

Pavlov VA, Wang H, Czura CJ, Friedman SG & Tracey KJ
The cholinergic anti-inflammatory pathway: a missing link in neuroimmunomodulation Mol Med 2003

Funzioni del sistema vagale già utilizzate in terapia o in fase di studio

IMMUNOMODULATORIA E ANTINFIAMMATORIA

Pavlov VA & Tracey, *Neural circuitry and immunity*.
Immunol Res 2015



The functional anatomy of the inflammatory reflex (according to Pavlov & Tracey, 2015)

AChE, acetylcholinesterase;

AP, area postrema;

DMN, dorsal motor nucleus of the vagus nerve;

LPS, lipopolysaccharide (endotoxin);

mAChR, muscarinic acetylcholine receptor;

NA, nucleus ambiguus;

NLRs, nucleotide-binding oligomerization domain-like receptors; NTS, nucleus tractus solitarius;

TLR4, Toll-like receptor 4.

Funzioni del sistema vagale già utilizzate in terapia o in fase di studio

AZIONE PARASIMPATICOTONICA SIMPATICOLITICA

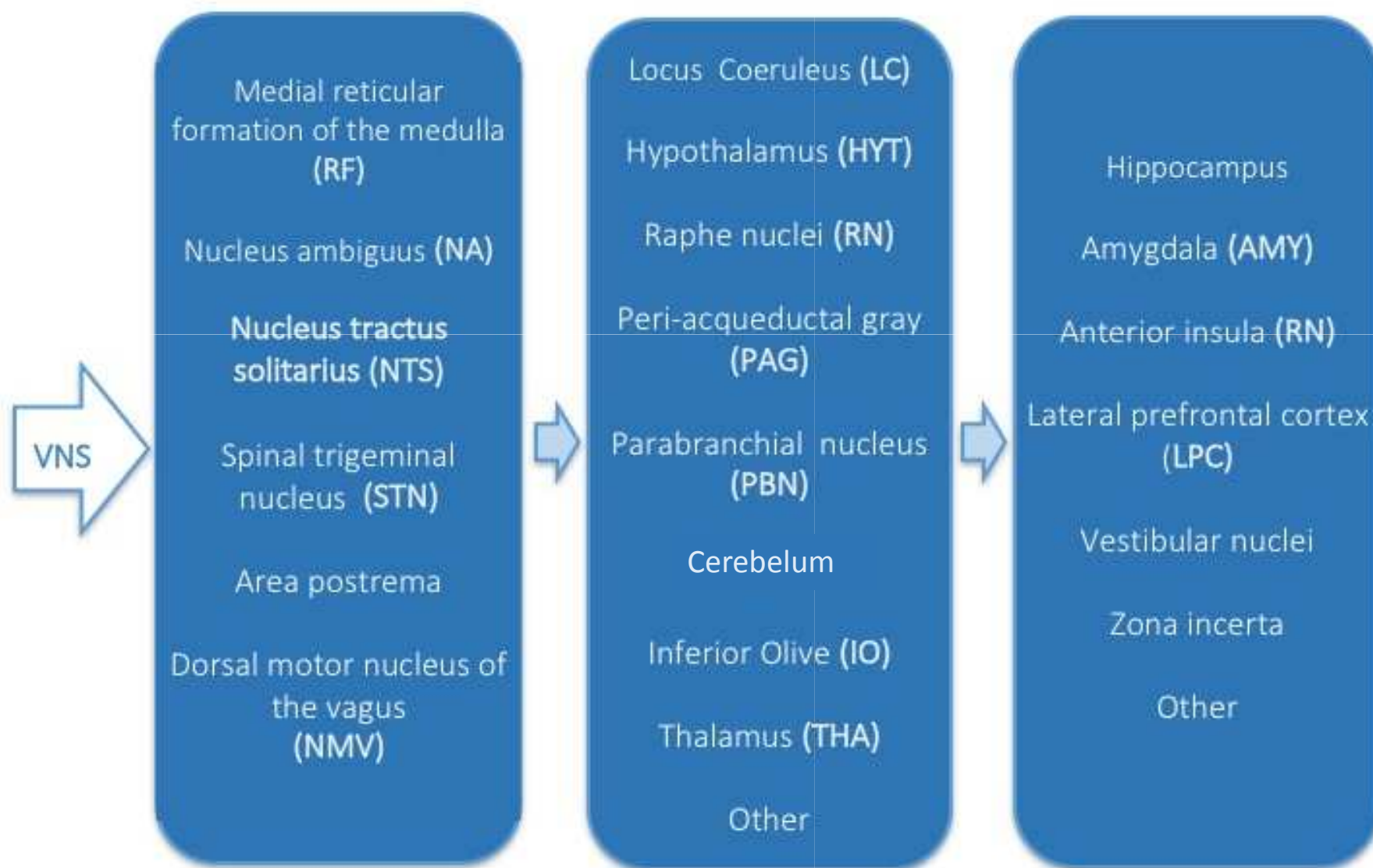
Lancaster JA, Mary DA, Witte KK, Greenwood JP, Deuchars SA, Deuchars

Non-invasive vagus nerve stimulation in healthy humans reduces sympathetic nerve activity. Brain Stimul. 2014

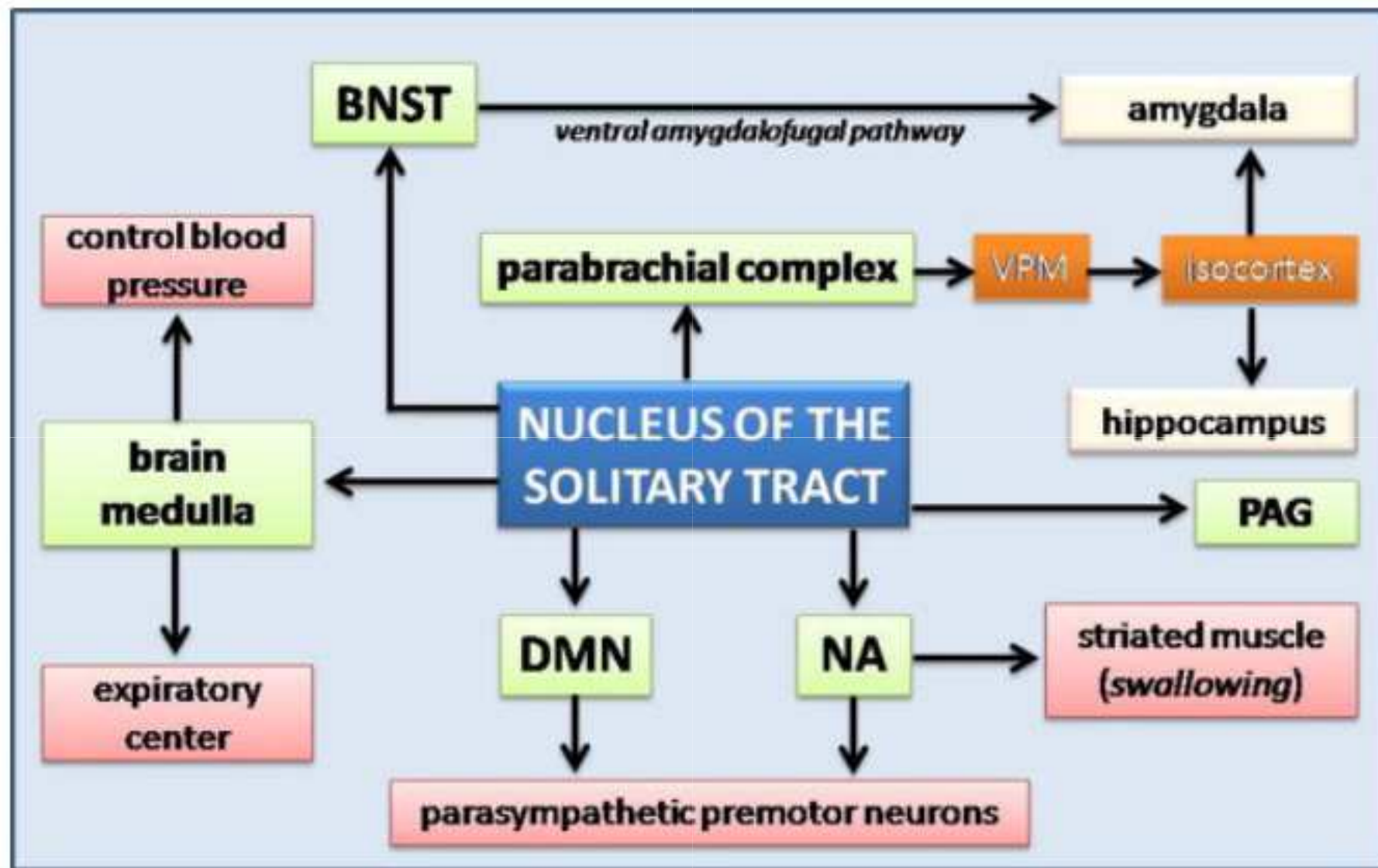
Lehtimäki J, Lehtimäki J et al.

Non-invasive vagus nerve stimulation reduces sympathetic preponderance in patients with tinnitus. M. Acta Otolaryngol. 2017

DAL NERVO VAGO AL SISTEMA VAGALE



DAL NERVO VAGO AL SISTEMA VAGALE



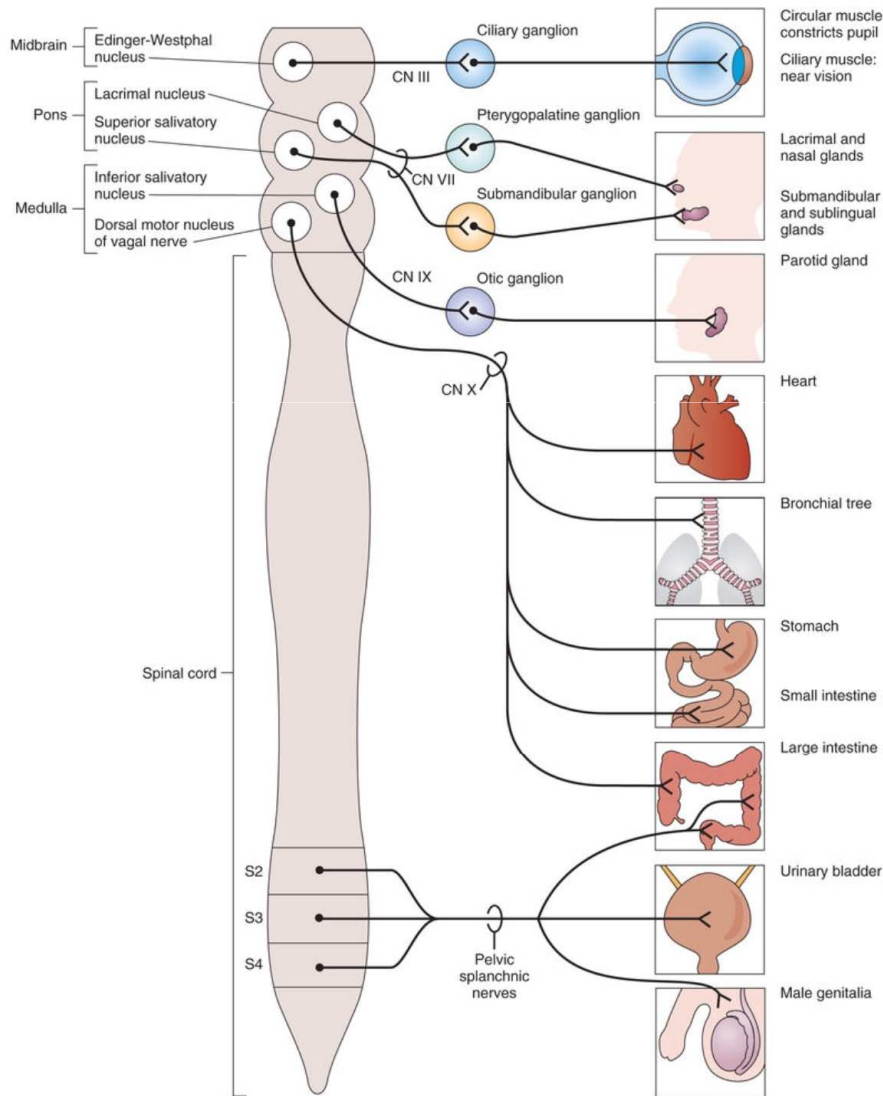
Ruffoli R, Giorgi FS et al.
The chemical neuroanatomy of vagus nerve stimulation
J Chem Neuroanat. 2011

DAL NERVO VAGO AL SISTEMA VAGALE

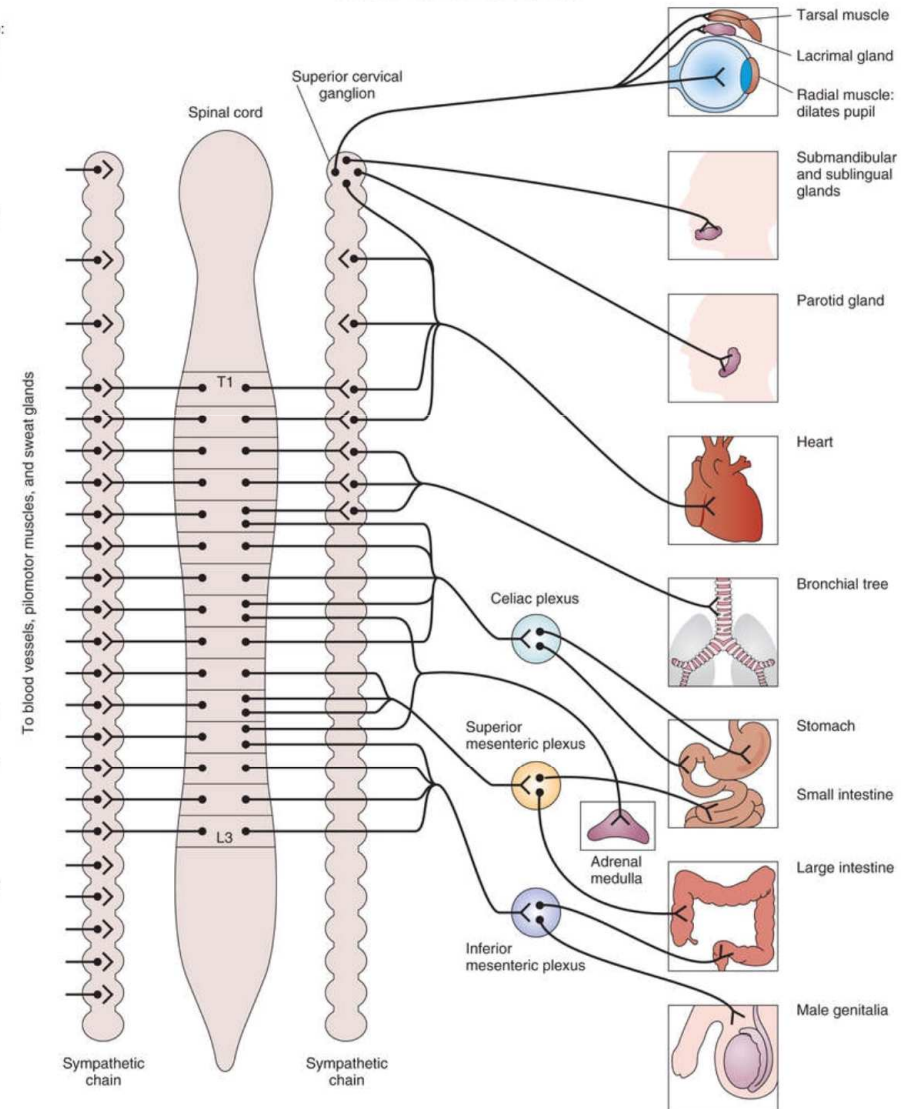
- › Vagal function is associated with:
 - psychological resilience
 - psychophysiological flexibility & response to environmental challenge
 - emotion capacity & social engagement
 - glucose regulation
 - inhibition of hypothalamic-pituitary-adrenal (HPA) axis
 - regulation of immune function
- › Chronic decreases reflect impairment of the cholinergic anti-inflammatory reflex →
 - immune dysfunction and inflammation →
 - CVD, diabetes, osteoporosis, arthritis, Alzheimer's disease, periodontal disease, and certain types of cancers as well as declines in muscle strength and increased frailty and disability

ANS SISTEMA NERVOSO NEUROVEGETATIVO AUTONOMO

SISTEMA NERVOSO PARASIMPATICO



SISTEMA NERVOSO SIMPATICO



The neurovisceral integration

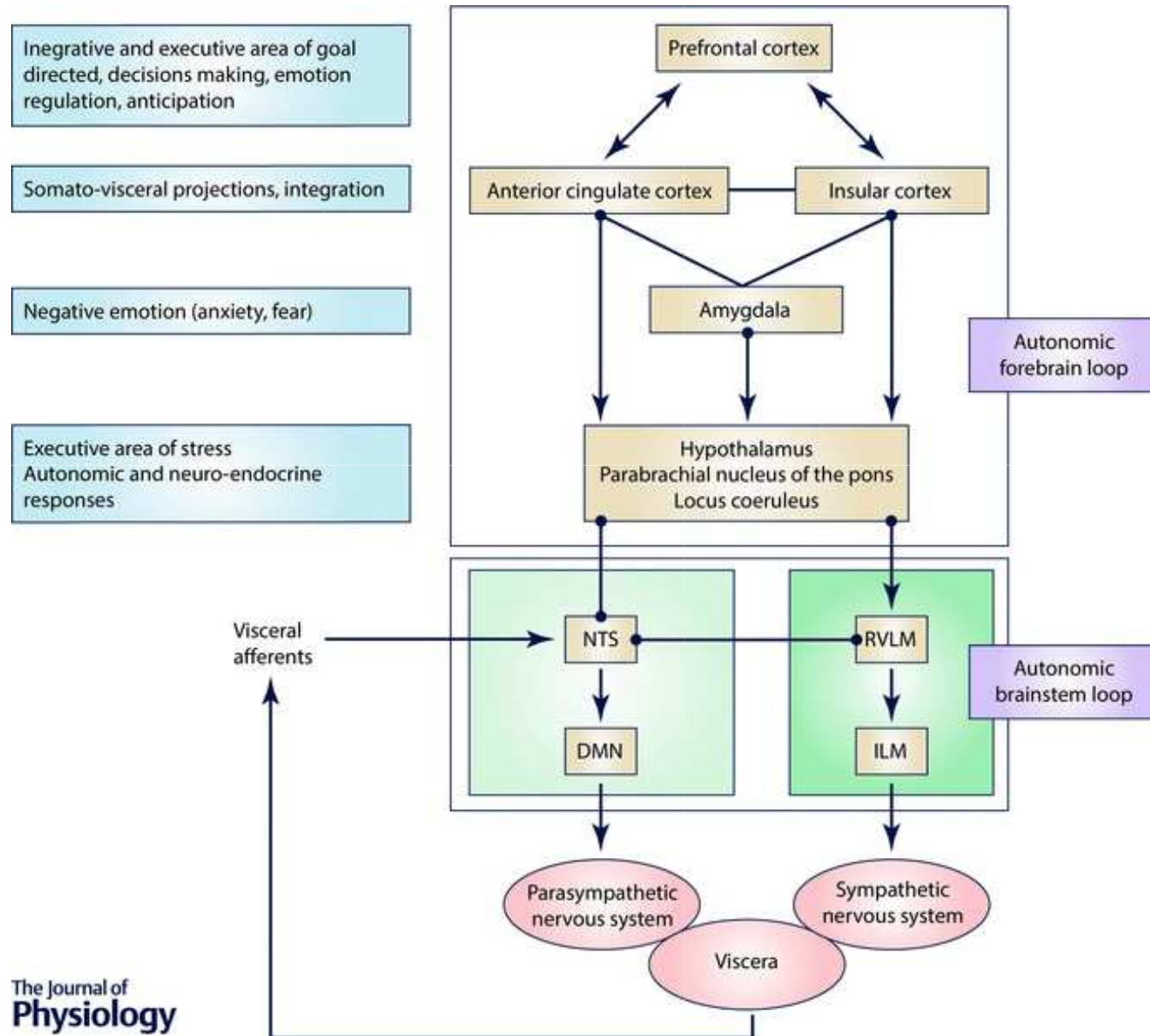
Benarroch EE. ***The central autonomic network: functional organization, dysfunction, and perspective.*** Mayo Clin Proc. 1993

Cersosimo MG, Benarroch EE. ***Central control of autonomic function and involvement in neurodegenerative disorders.*** Handb Clin Neurol. 2013

Thayer J. F., Lane R. D. ***A model of neurovisceral integration in emotion regulation and dysregulation.*** J. Affect. Disord. 2000

Thayer J. F., Hansen A. L., Saus-Rose E., Johnsen B. H. ***Heart rate variability, prefrontal neural function and cognitive performance: the neurovisceral integration perspective on self-regulation, adaptation, and health.*** Ann. Behav. Med. 2009

CAN CENTRAL AUTONOMIC NETWORK (Thayer & Lane, 2008)



AN CENTRAL AUTONOMIC NETWORK: INTERAZIONE CUOR CERVELLO

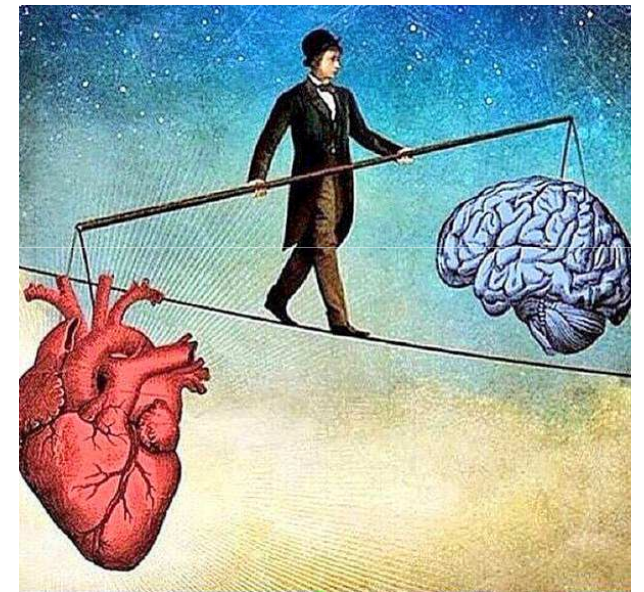
Quando la mente è in uno stato di forte eccitazione, ci aspettiamo che abbia un'influenza immediata sul cuore.....

Quando il cuore viene interessato da questa eccitazione, provoca reazioni a livello cerebrale.....

Attraverso il nervo pneumogastrico...

Si attiva un meccanismo di azione-reazione

C. Darwin The Expression of Emotions in Man and Animals, 1872



AN CENTRAL AUTONOMIC NETWORK: INTERAZIONE CUOR CERVELLO

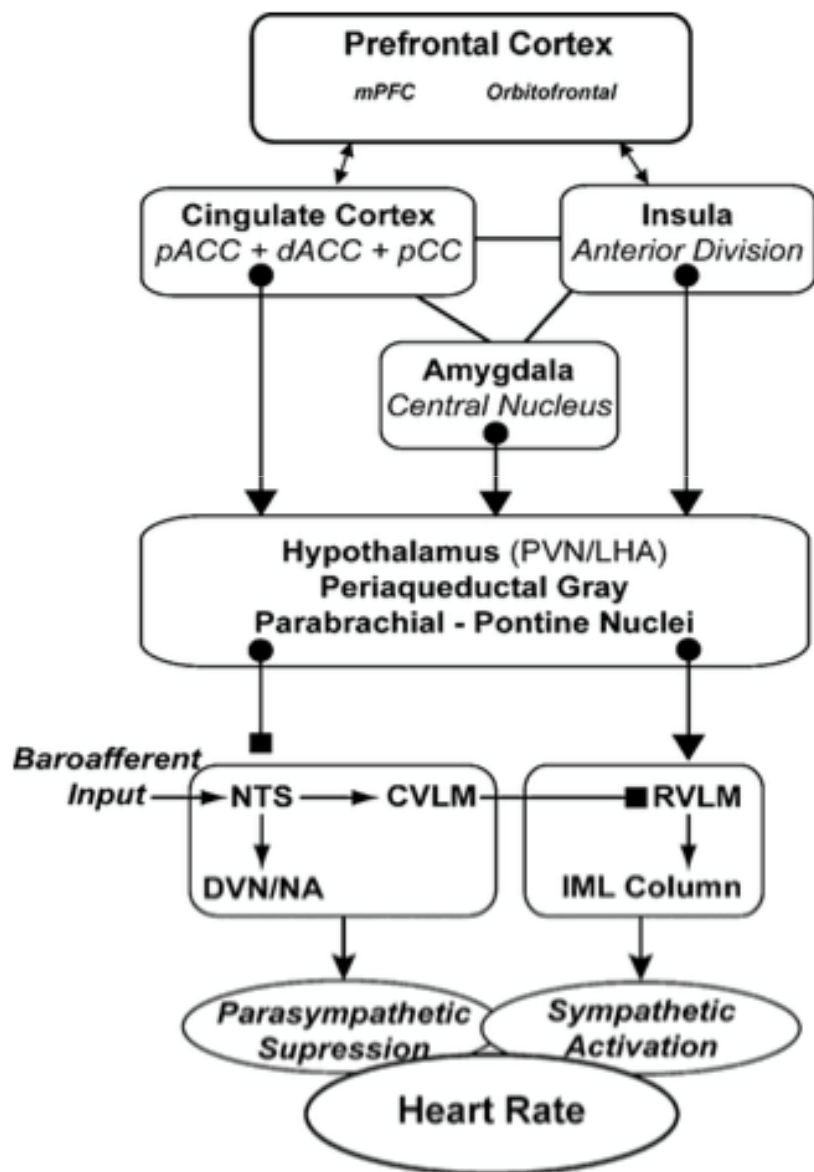
Park G, Thayer JF. *From the heart to the mind: cardiac vagal tone modulates top-down and bottom-up visual perception and attention to emotional stimuli. Frontiers in Psychology, 2014*

Thayer J. F., Hansen A. L., Saus-Rose E., Johnsen B. H.
Heart rate variability, prefrontal neural function and cognitive performance: the neurovisceral integration perspective on self-regulation, adaptation, and health. Ann. Behav. Med. 2009

Thayer JF, Lane RD. *Claude Bernard and the heart-brain connection: further elaboration of a model of neurovisceral integration.*

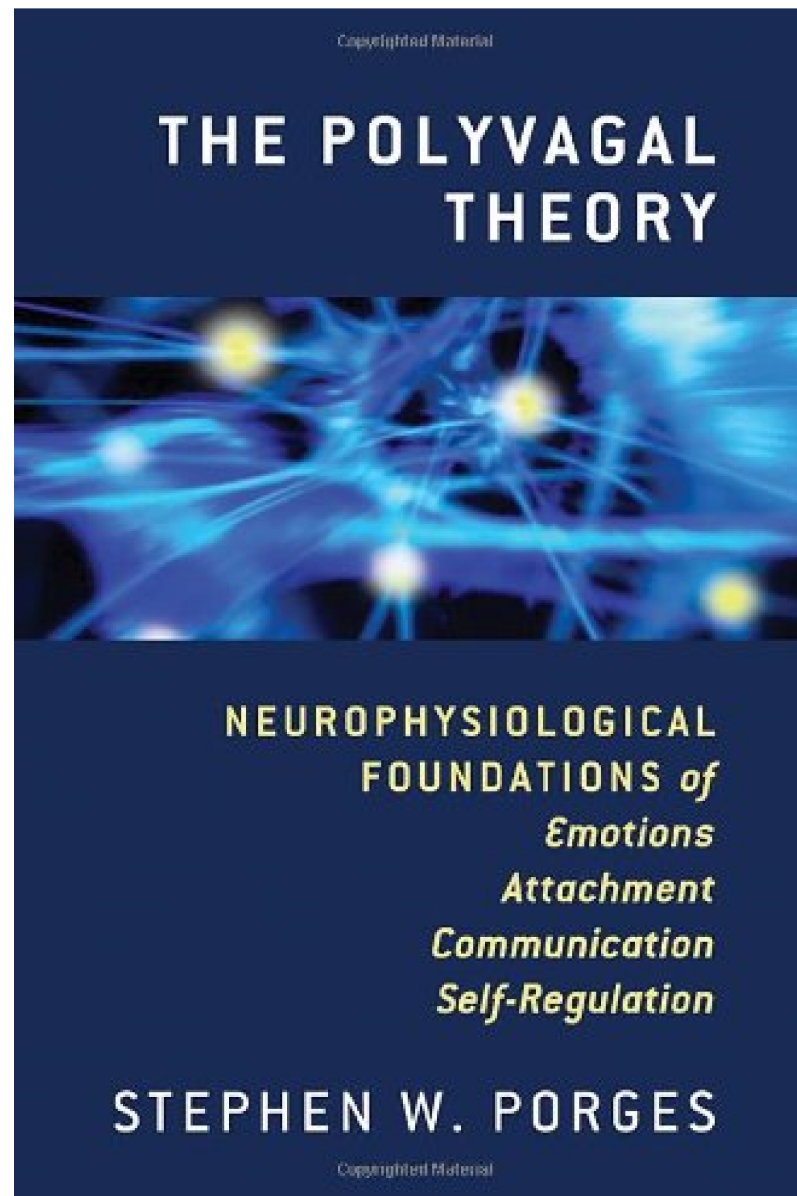
Neurosci Biobehav Rev. 2009

AN CENTRAL AUTONOMIC NETWORK: INTERAZIONE CUOR CERVELLO



Prefrontal Cortex	medial Prefrontal Cortex mPFC Orbitofrontal Cortex OFC
Cingulate Cortex	posterior Anterior Cingulate Cortex pACC dorsal Anterior Cingulate Cortex dACC posterior Cingulate Cortex pCC
Insula	Anterior Division of Insula
Amygdala	Central Nucleus CeA
Hypothalamus	Paraventricular Nucleus of the Hypothalamus PVN Lateral Hypothalamic Area LHA
Periaqueductal Gray	
Parabrachial Pontine Nucleus	
Nucleus of Solitary Tract NTS	
Caudal Ventrolateral Medullary CVLM	
Dorsal Vagal Motor Nucleus DVN	
Nucleus Ambiguus NA	
Rostral Ventrolateral Medullary RVLM	
Intermediolateral Column IML	

La Teoria Polivagale The polyvagal Theory



La Teoria Polivagale The polyvagal Theory

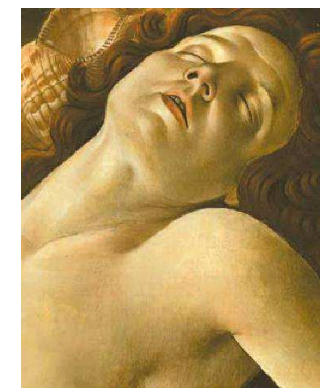
il SNA è composto da tre circuiti neurali, gerarchicamente organizzati, che regolano l'adattamento dello stato comportamentale e fisiologico in contesti relazionali e sociali sicuri, pericolosi e potenzialmente letali.



Myelinated vagus
Ventral vagal complex
N. Ambiguus NA



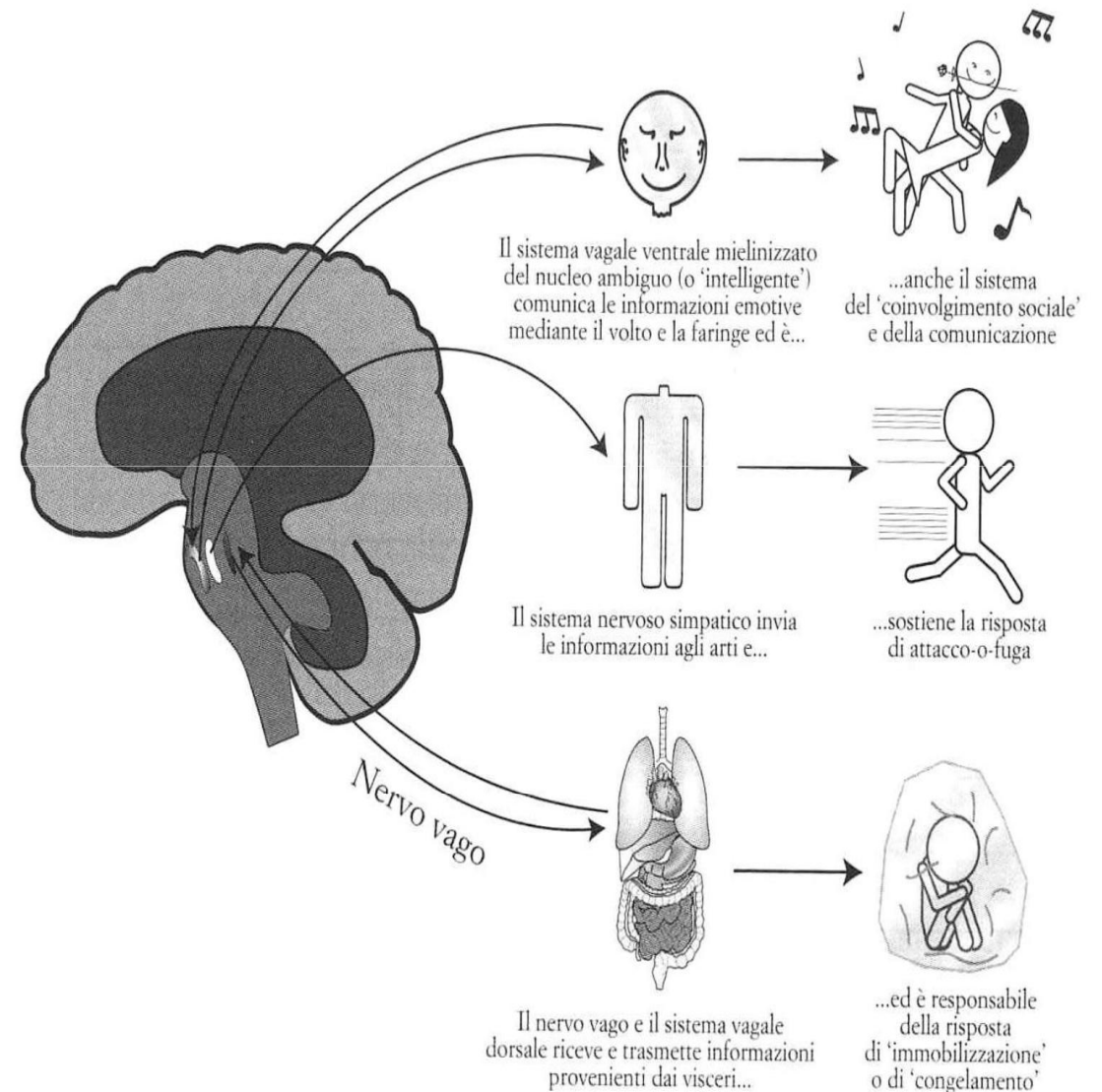
**Sympathetic
adrenal system**



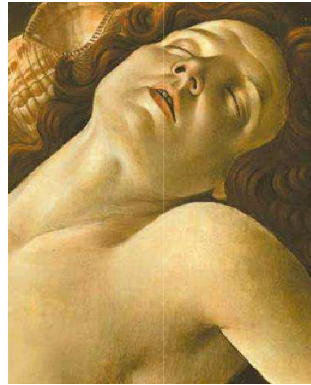
Unmyelinated vagus
Dorsal Motor Nucleus
of Vagus DMN

La Teoria Polivagale The polyvagal Theory

S. Porges ha identificato **tre strategie comportamentali dattative, filogeneticamente organizzate**, ciascuna delle quali è collegata ad un circuito neurale distinto che coinvolge il **sistema nervoso autonomo**.



La Teoria Polivagale The polyvagal Theory



IMMOBILIZZAZIONE

Morte simulata-blocco dell' azione

Nucleo Motore Dorsale del Vago (DMNX)

Il Complesso Dorso Vagale (DVC) è la branca più antica del Nervo Vago, è presente nei rettili e nei mammiferi, e le sue fibre efferenti non sono mielinizzate. Regola i processi vegetativi e il funzionamento degli organi posti al di sotto del diaframma. Crea uno stato di rallentamento che arriva fino all'immobilizzazione (estrema paura)

La Teoria Polivagale The polyvagal Theory



MOBILIZZAZIONE

Attacco e fuga

Sistema Nervoso Simpatico

Regola la capacità metabolica e il battito cardiaco per far fronte ad una situazione di pericolo. La sua attivazione porta ad un aumento di PA e di FC, a broncodilatazione, a vasocostrizione e ad un aumento della tensione muscolare, mentre inibisce l'attività del tratto gastro intestinale.

La Teoria Polivagale The polyvagal Theory



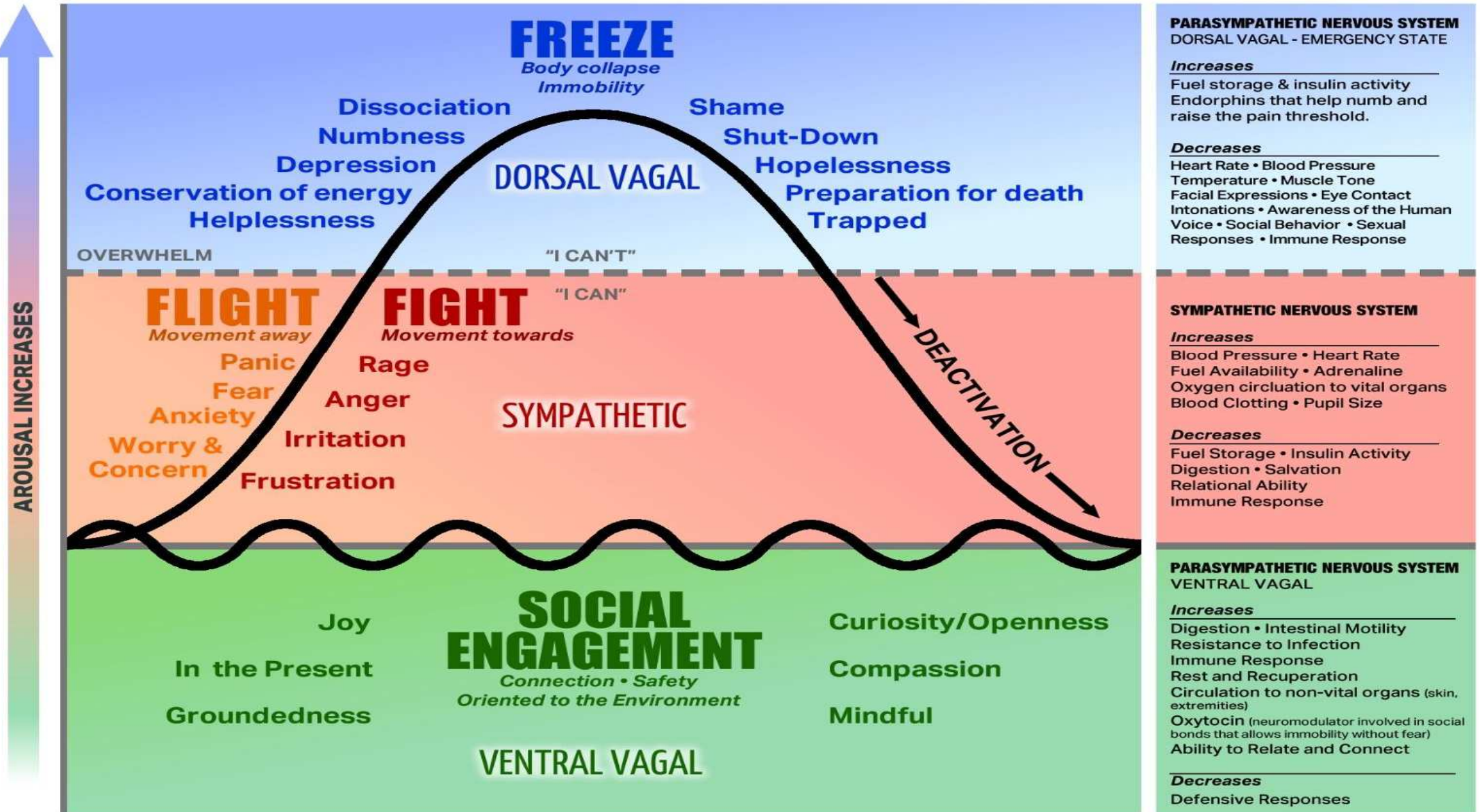
COMUNICAZIONE O SOCIAL ENGAGEMENT SYSTEM

Espressioni facciali, vocalizzazione, ascolto

Complesso Ventro Vagale (VVC)

Dipende dal Vago mielinico che origina dal Nucleo Ambiguo ed è presente soltanto nei mammiferi superiori e nell' uomo. Queste fibre contengono un ritmo cardio-respiratorio e rivestono un ruolo fondamentale nei processi di comunicazione **MOBILIZZAZIONE**

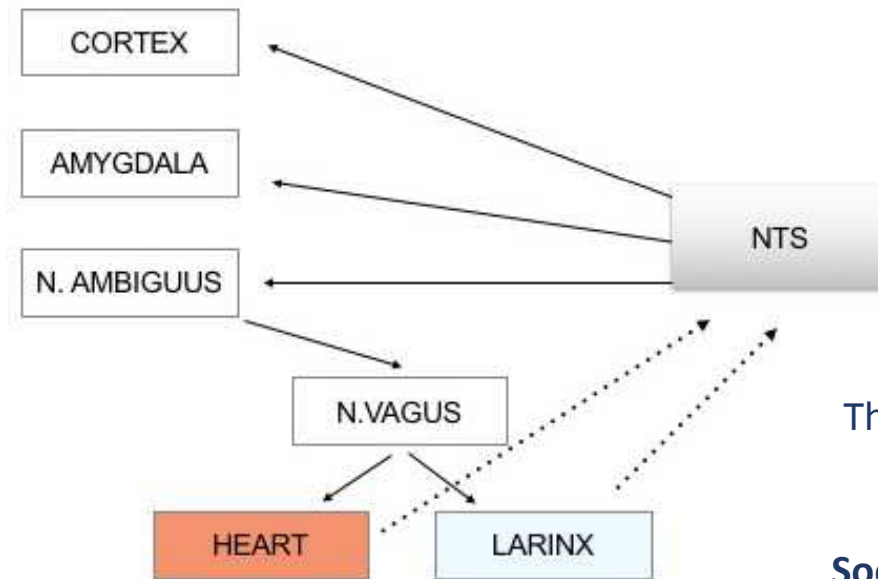
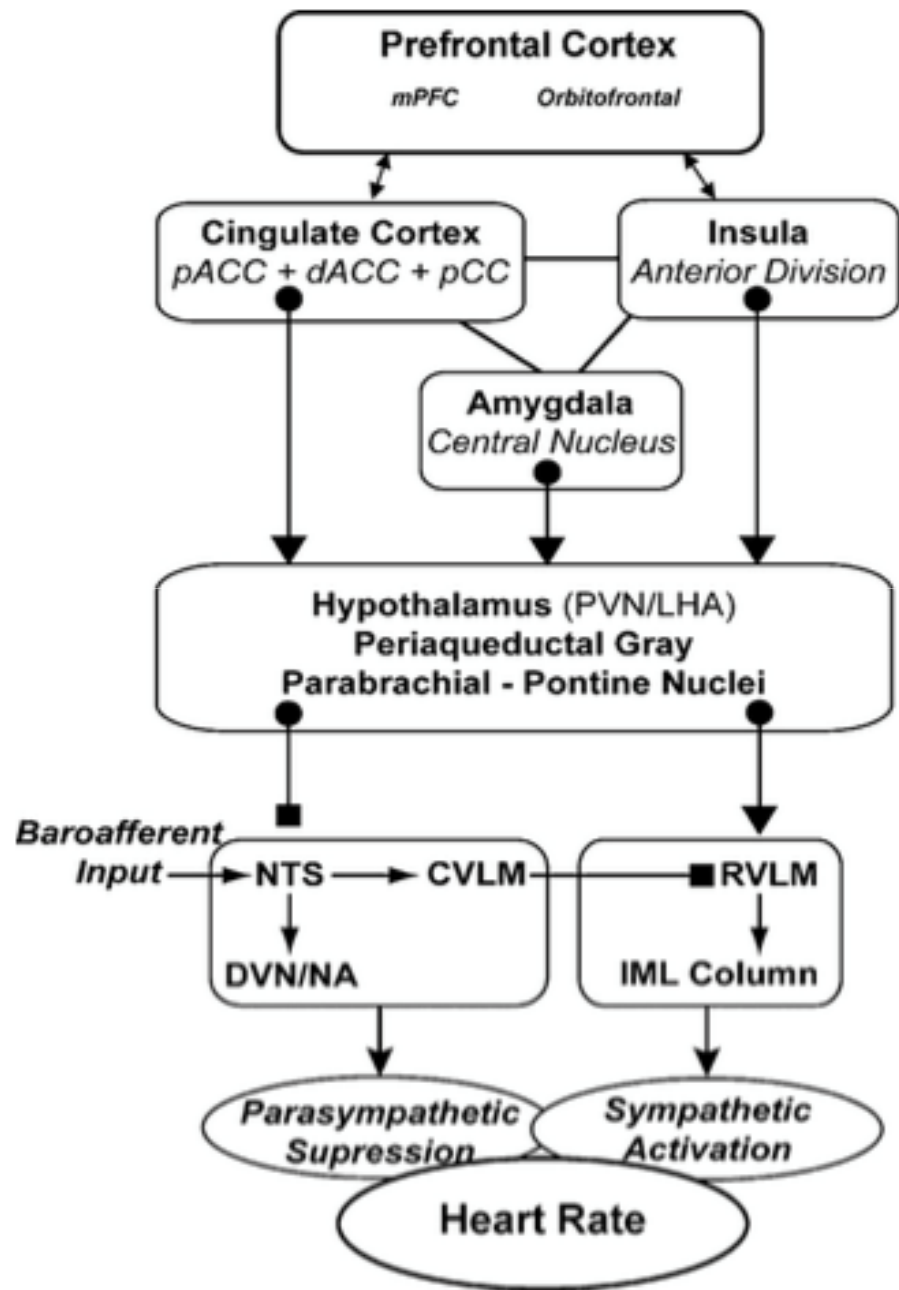
La Teoria Polivagale The polyvagal Theory



Adapted by Ruby Jo Walker from: Cheryl Sanders, Steve Hoskinson, Steven Porges and Peter Levine

rubyjowalker.com

CAN (Thayer & Lane, 2008) - The Polyvagal Theory (S. Porges)



The neuroanatomical and physiological bases of the Social Engagement System

CONTROLLO NEURALE DEL CUORE E LATERALIZZAZIONE EMISFERICA

Yoon B-W, Morillo CA, Cechetto DF, Hachinski V.

Cerebral hemispheric lateralization in cardiac autonomic control.

Arch Neurol. 1997

Zamrini EY, et al.

Unilateral cerebral inactivation produces differential left/right heart rate responses.

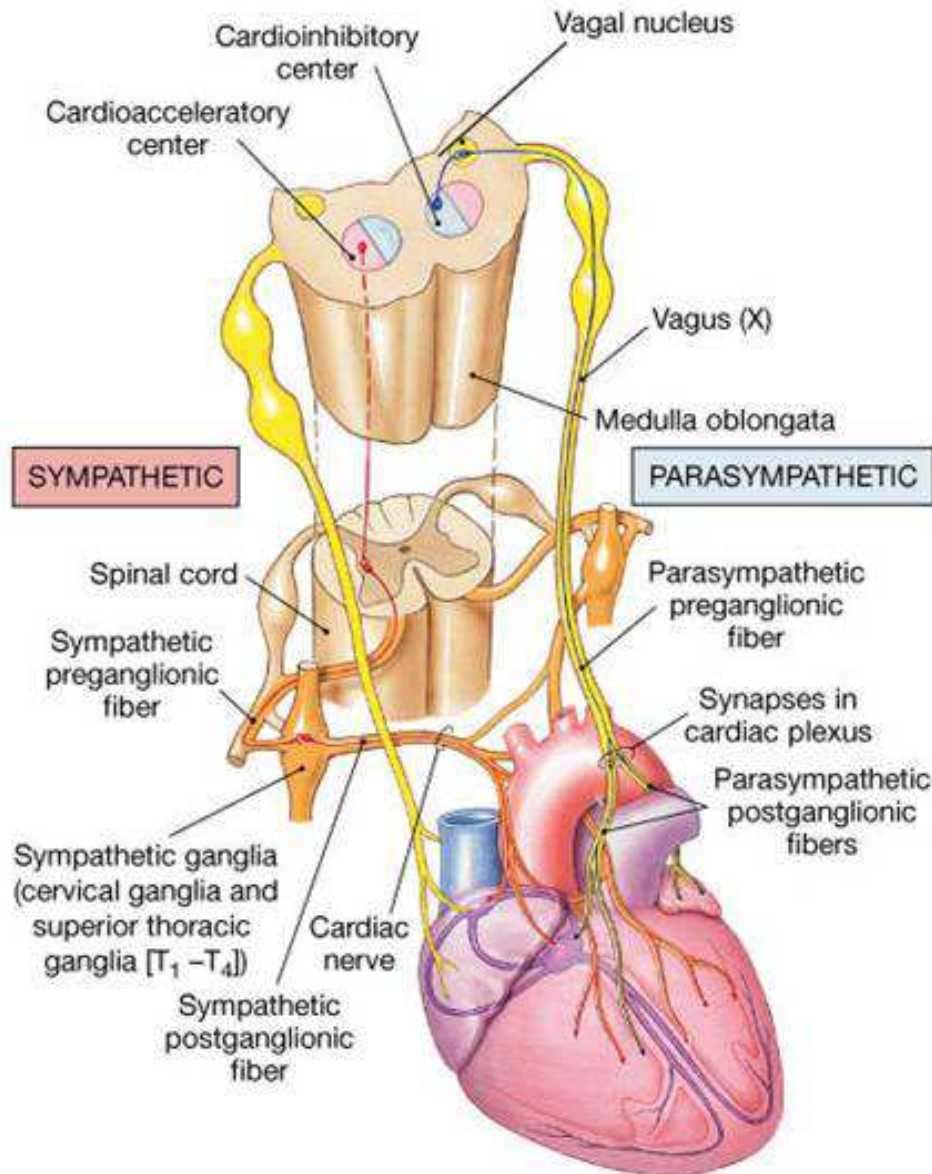
Neurology 1990

Oppenheimer SM, Gelb A et al.

Cardiovascular effects of human insular cortex stimulation.

Neurology. 1992

CONTROLLO NEURALE DEL CUORE



Controllo neurale del cuore

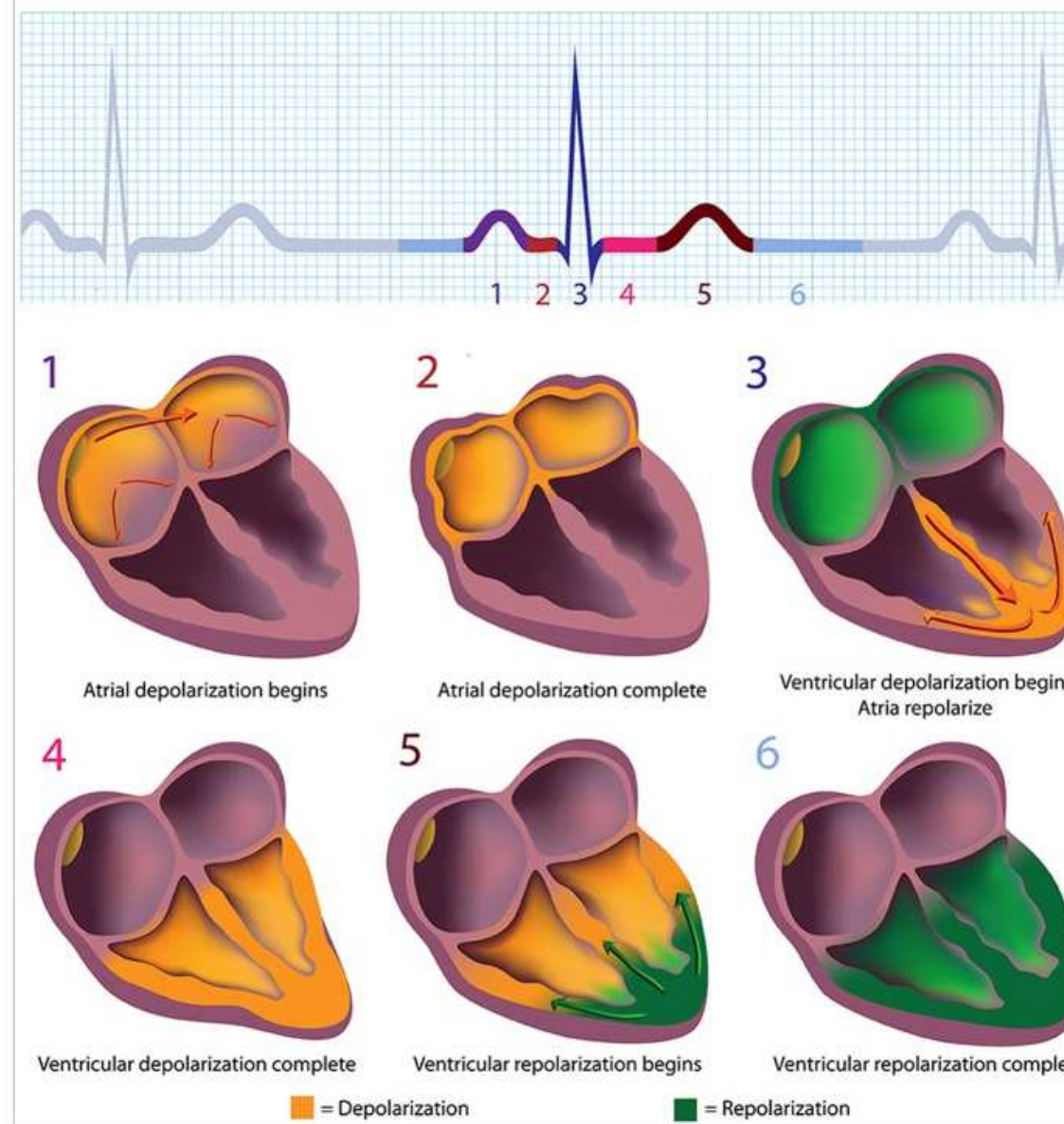
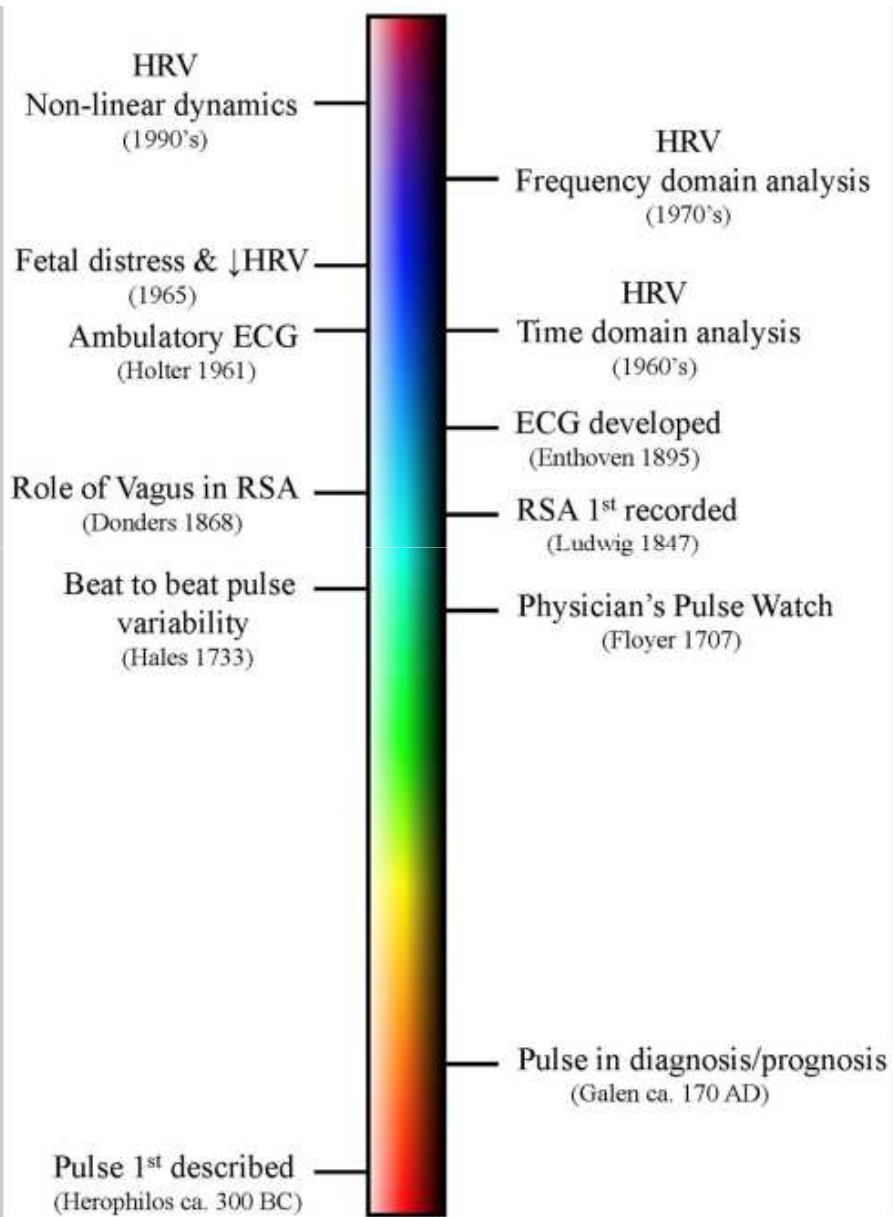
La frequenza a riposo in un soggetto sano è 75 battiti per minuto (bpm).

La frequenza intrinseca del nodo SA è 107 bpm (20 anni) e 90 (50 anni) (*Optof, 2000*)

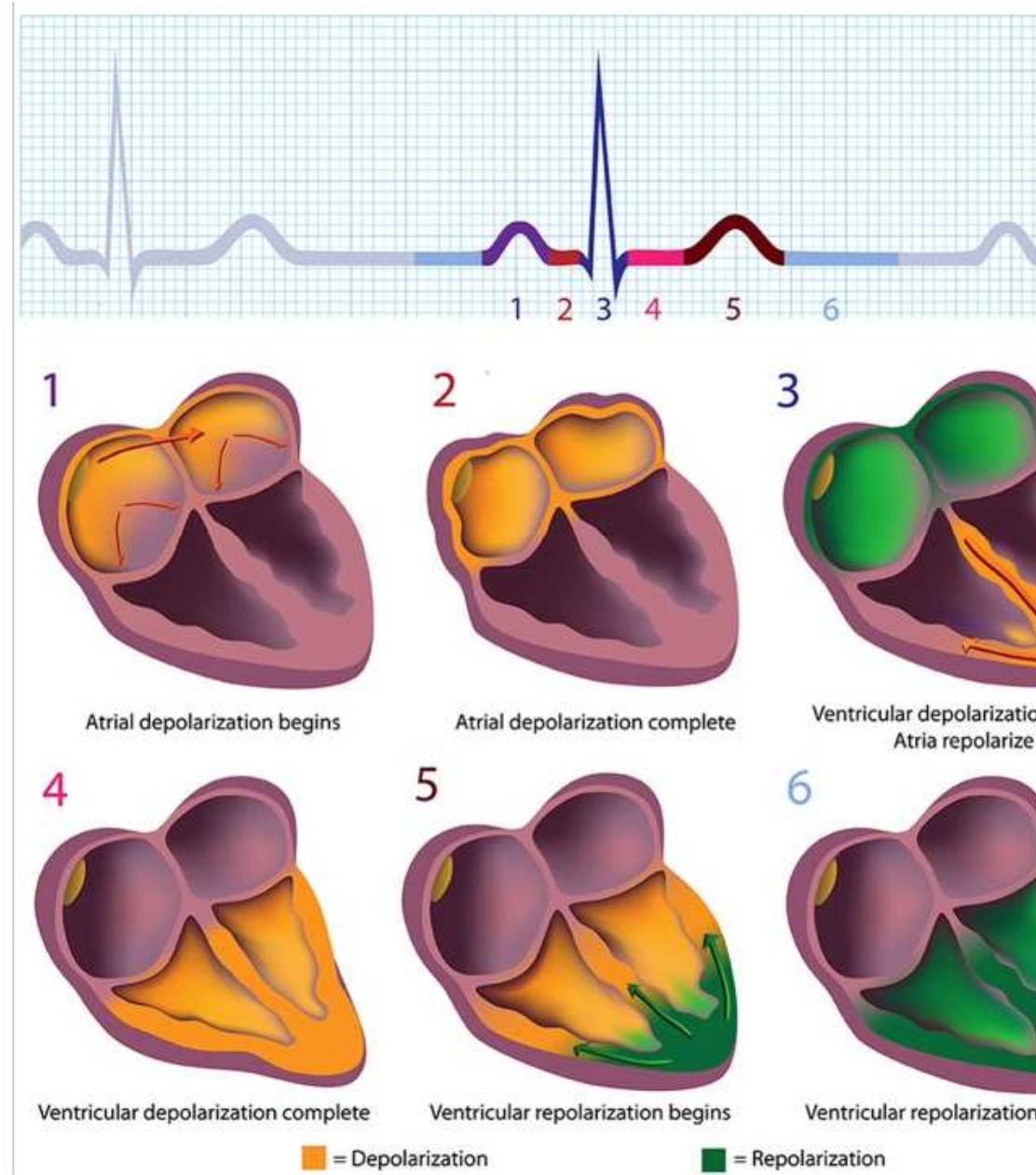
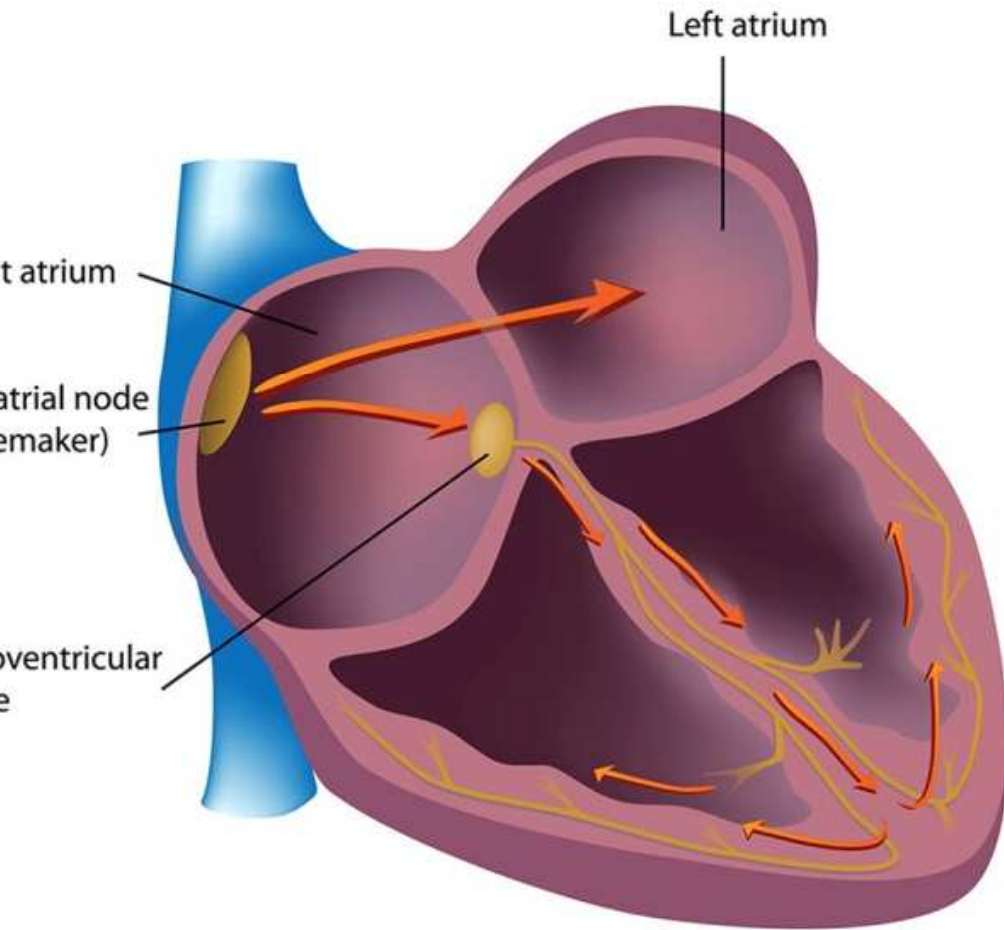
Il parasimpatico esercita un'azione inibente sul nodo SA (riducendo la frequenza di 20-30 bpm per minuto) Heart brake (*Olshansky et al., 2008*)

L'azione parasimpatica vagale ha un tempo di latenza < 1 s, quella simpatica è >5 s; (*Nunan et al., 2010*)

REGISTRAZIONE DELL'ATTIVITÀ DEL CUORE



REGISTRAZIONE DELL'ATTIVITÀ DEL CUORE



CONTROLLO DEL CUORE E TONO VAGALE

Il tono (cardiaco) vagale rappresenta il contributo del sistema nervoso parasimpatico vagale al controllo del cuore, ma è anche strettamente collegato ai meccanismi di autoregolazione vegetativi, emotivi e in generale dello stato di salute.

Il tono vagale è strettamente legato alla **HRV**

Per lo studioso e il terapeuta il fatto che il tono vagale sia una variabile misurabile, rappresenta un importante strumento nell'ambito della ricerca e della cura.

CAN - NEUROVISCERAL INTEGRATION MODEL AND HRV

Thayer J. F., Hansen A. L., Saus-Rose E., Johnsen B. H. *Heart rate variability, prefrontal neural function and cognitive performance: the neurovisceral integration perspective on self-regulation, adaptation, and health.* Ann. Behav. Med. 2009

La HRV è una proprietà emergente di sistemi regolatori interdipendenti che operano su diverse scale temporali per adeguarsi alle sfide ambientali e psicologiche.

Thayer J.F.

La frequenza cardiaca

La frequenza cardiaca può essere definita come il numero medio di battiti cardiaci al minuto: in realtà il tempo che intercorre fra un battito e l'altro, non è costante ma varia in continuazione.



Variabilità cardiaca (Heart Rate Variability)

La variabilità cardiaca (HRV) descrive la variazione del tempo che intercorre tra un battito e l'altro misurato come intervallo R-R.

L'intervallo R-R viene espresso in millisecondi.

Malik & Camm, 1995

Misurare la HRV

La HRV può essere analizzata attraverso:

Dominio del tempo (Time domain measures)

Dominio delle frequenze (Frequency domain measures)

Tempi di Misurazione

Long-term measurement >5 min - 24 h

Short-term measurements 5 min

Ultra short-term measurements 1 min

Misurazioni di breve durata (Short-term measurements)

1 minuto è la durata minima per ottenere una misurazione significativa

Un tempo di **5 minuti** è considerato il “gold standard” delle misurazioni **in tempi brevi** (short-term readings).

I dati ottenuti con una registrazione di breve durata (*short readings*) sono **più sensibili** alla presenza di anomalie .

misurazioni di breve durata (Short-term measurements)

O'Neal WT, Chen LY, et al .

Reference ranges for short-term Heart Rate Variability measures in individuals free of cardiovascular disease: the Multi-Ethnic Study of Atherosclerosis (MESA).

J Electrocardiol. 2016

Baek HJ, Cho CH et al.

Reliability of ultra-short-term analysis as a surrogate of standard 5-min analysis of heart rate variability.

Telemed J EHealth. 2015

Munoz ML, van Roon A, et al.

Validity of (Ultra-) Short recordings for Heart Rate Variability measurements.

PLoS One. 2015

Nussinovitch U, Elishkevitz KP et al.

Reliability of Ultra-Short ECG Indices for heart rate variability.

Ann Non invasive Electrocardiol. 2011

Analisi Time Domain

L'analisi nel **Dominio del tempo** (Time domain) consiste in semplici statistiche derivate dagli intervalli battito-battito (*interbeat interval* *BI*) e sono espresse in unità di tempo (msec)

L'esclusione di intervalli RR non normali (artefatti) è un prerequisito per il calcolo dell'HRV.

Analisi Time Domain

SDNN (msec) → Deviazione standard (standard deviation) SD degli
intervalli R-R normali (normal to normal) NN. Riflette

attività parasimpatica e simpatica, le variazioni a breve e lungo termine

rMSSD (msec) → Radice quadrata della media (root mean square) RMS
delle differenze al quadrato tra intervalli R-R adiacenti (differences of
successive R-R intervals) SD.

ln(rMSSD) Il logaritmo naturale di rMSSD ne favorisce il calcolo e
utilizzo clinico

ANALISI FREQUENCY DOMAIN

Analisi ***Frequency domain*** → si basa sull'identificazione e quantificazione (in termini di frequenza e potenza) dei principali ritmi oscillatori di origine fisiologica di cui si compone una sequenza di intervalli R-R.

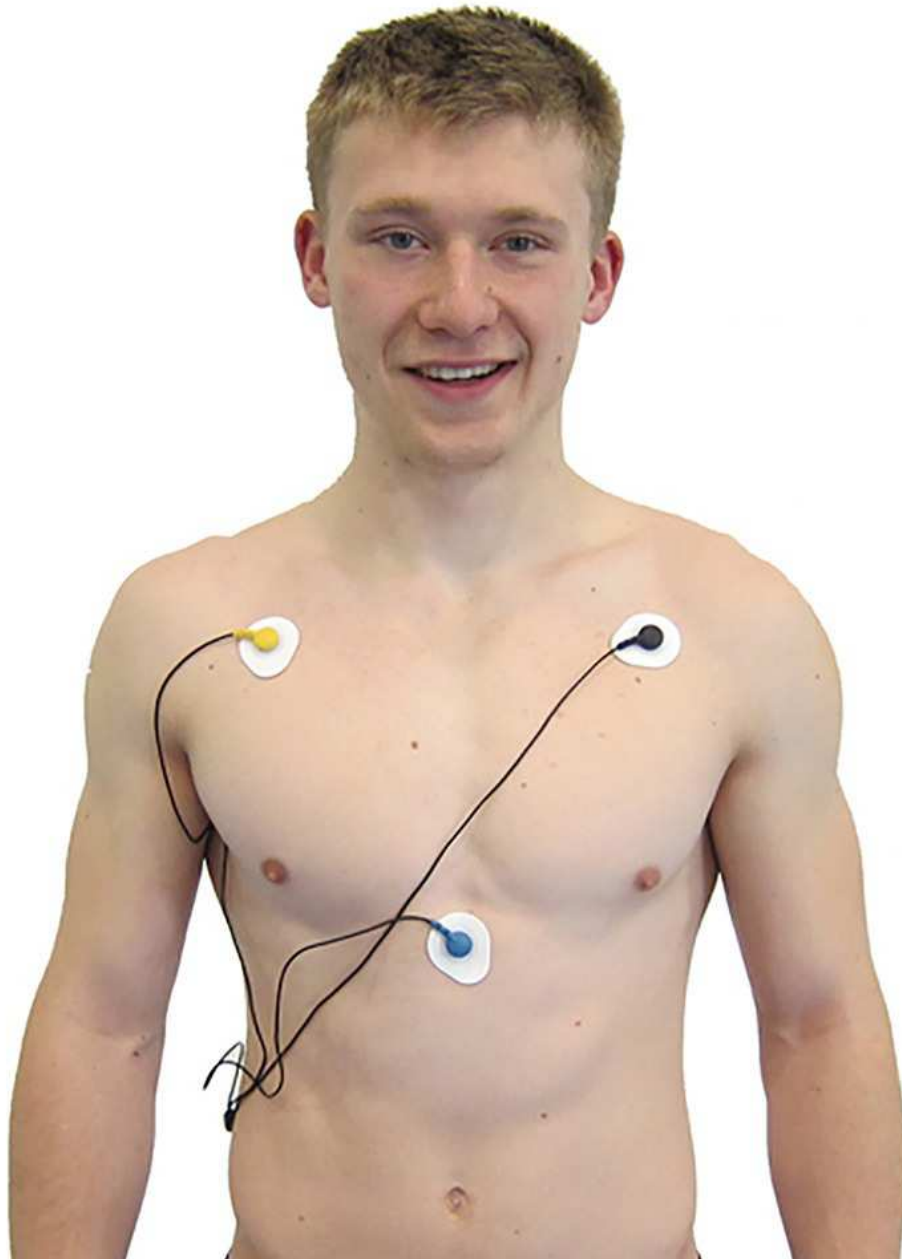
Componente ad alta frequenza (HF) → **modulazione vagale**

(range 0,15-0,45 Hz)

Componente a bassa frequenza (LF) **modulazione simpatica**

(range 0,04-0,15 Hz)

Misura e analisi della HRV



Misura e analisi della HRV

Photoplethysmographic Sensors (PPG)

Jeyhani V, Mahdiani S, et al.

Comparison of HRV parameters derived from photoplethysmography and electrocardiography signals.

Conf Proc IEEE Eng Med Biol Soc. 2015

Gil E, Orini M, Bailon R, et al.

Time-varying spectral analysis for comparison of HRV and PPG variability during tilt table test.

Conf Proc IEEE Eng Med Biol Soc. 2010

Gil E, Orini M, Bailon R, et al. Photoplethysmography pulse rate variability as a surrogate measurement of heart rate variability during non-stationary conditions.

Physiol Meas. 2010

Misura e analisi della HRV

(Ultra-) Short recordings for Heart Rate Variability

O'Neal WT, Chen LY, et al .

Reference ranges for short-term Heart Rate Variability measures in individuals free of cardiovascular disease: the Multi-Ethnic Study of Atherosclerosis (MESA).

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Ann Non invasive Electrocardiol. 2011

Misura e analisi della HRV

Photoplethysmographic Sensors (PPG) → the Phone's Camera

Peng RC, Zhou XL et al.

Extraction of heart rate variability from smartphone photoplethysmograms.

Comput Math Methods Med. 2015

Heathers JA.

Smartphone-enabled pulse rate variability: an alternative methodology for the collection of heart rate variability in psychophysiological research.

Int J Psychophysiol. 2013

Huebner T, Voss A, et al.

Validation of a new Heart Rate measurement algorithm for fingertip recording of video signals with smartphones.

Telemed J E Health. 2016

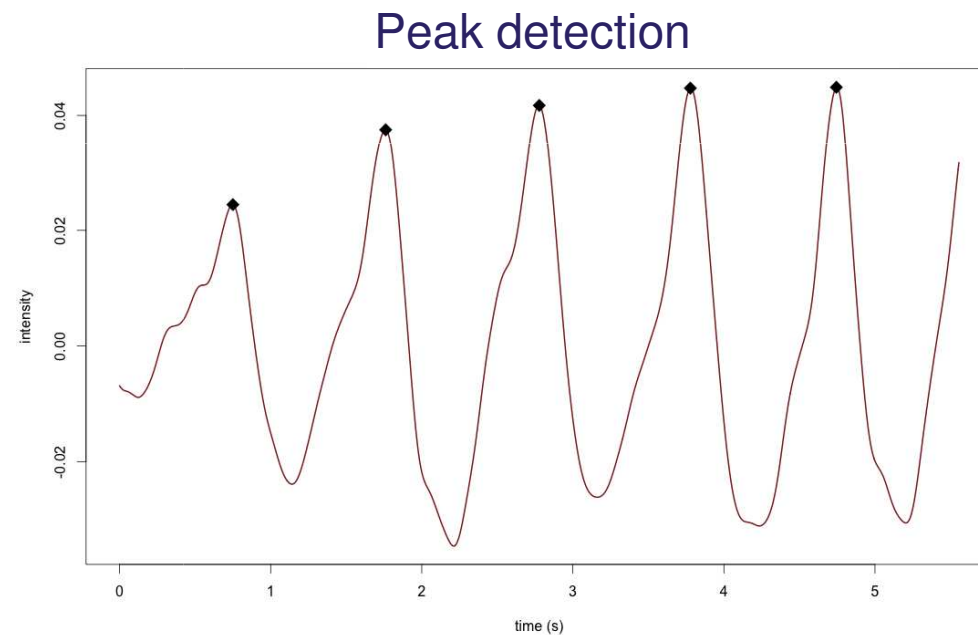
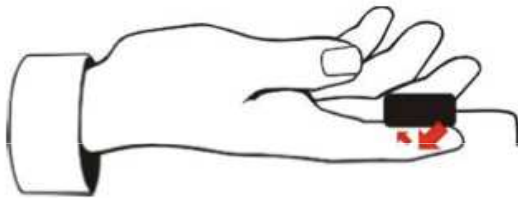
Esco MR, Flatt AA et al.

Agreement between a smart-phone pulse sensor application and ECG for determining InRMSSD.

J Strength Cond Res. 2016

Misura e analisi della HRV

Photoplethysmographic Sensors (PPG)



Misura e analisi della HRV

Photoplethysmographic Sensors (PPG) → the Phone's Camera

Smartphone-enabled pulse rate variability: An alternative methodology for the collection of heart rate variability in psychophysiological research[☆]



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ABSTRACT

Heart rate variability (HRV) is widely used to assess autonomic nervous system (ANS) function. It is traditionally collected from a dedicated laboratory electrocardiograph (ECG). This presents a barrier to collecting the large samples necessary to maintain the statistical power of between-subject psychophysiological comparisons. An alternative to ECG involves an optical pulse sensor or photoplethysmograph run from a smartphone or similar portable device: *smartphone pulse rate variability* (SPRV). Experiment 1 determined the simultaneous accuracy between ECG and SPRV systems in $n = 10$ participants at rest. Raw SPRV values showed a consistent positive bias, which was successfully attenuated with correction. Experiment 2 tested an additional $n = 10$ participants at rest, during attentional load, and during mild stress (exercise). Accuracy was maintained, but slightly attenuated during exercise. The best correction method maintained an accuracy of $\pm 2\%$ for low-frequency spectral power, and $\pm 5\%$ for high-frequency spectral power over all points. Thus, the SPRV system records a pulse-to-pulse approximation of an ECG-derived heart rate series that is sufficiently accurate to perform time- and frequency-domain analysis of its variability, as well as accurately reflecting change in autonomic output provided by typical psychophysiological stimuli. This represents a novel method by which an accurate approximation of HRV may be collected for large-sample or naturalistic cardiac psychophysiological research.

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Misura e analisi della HRV

Smartphone-enabled pulse rate variability: An alternative methodology for the collection of heart rate variability in psychophysiological research[☆]



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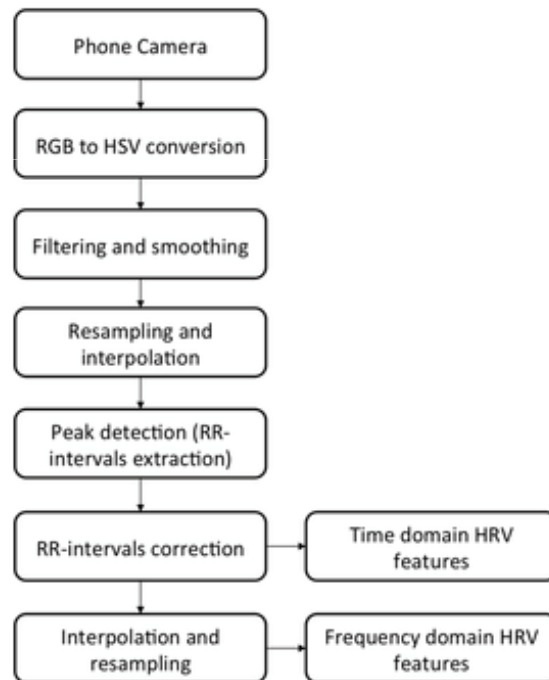
ABSTRACT

Heart rate variability (HRV) is widely used to assess autonomic nervous system (ANS) function. It is traditionally collected from a dedicated laboratory electrocardiograph (ECG). This presents a barrier to collecting the large samples necessary to maintain the statistical power of between-subject psychophysiological comparisons. An alternative to ECG involves an optical pulse sensor or photoplethysmograph run from a smartphone or similar portable device: *smartphone pulse rate variability* (SPRV). Experiment 1 determined the simultaneous accuracy between ECG and SPRV systems in $n = 10$ participants at rest. Raw SPRV values showed a consistent positive bias, which was successfully attenuated with correction. Experiment 2 tested an additional $n = 10$ participants at rest, during attentional load, and during mild stress (exercise). Accuracy was maintained, but slightly attenuated during exercise. The best correction method maintained an accuracy of $\pm 2\%$ for low-frequency spectral power, and $\pm 5\%$ for high-frequency spectral power over all points. Thus, the SPRV system records a pulse-to-pulse approximation of an ECG-derived heart rate series that is sufficiently accurate to perform time- and frequency-domain analysis of its variability, as well as accurately reflecting change in autonomic output provided by typical psychophysiological stimuli. This represents a novel method by which an accurate approximation of HRV may be collected for large-sample or naturalistic cardiac psychophysiological research.

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Misura e analisi della HRV

Photoplethysmographic Sensors (PPG) → the Phone's Camera



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